RPTO/SB/21 (08-00) 3 1004 Publise type a plus sign (+) inside this box -> [+] Approved for use through 10/31/2002. OMB 0651-0031 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Inder the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. 10/650,360 Application Number **TRANSMITTAL** Filing Date August 27, 2003 FORM First Named Inventor Leung, A. (to be used for all correspondence after initial filing) 2186 Group Art Unit Unassigned **Examiner Name** Total Number of Pages in This Submission 4 Attorney Docket Number 021154-000810US ENCLOSURES (check all that apply) After Allowance Communication to Assignment Papers Fee Transmittal Form (for an Application) Group Appeal Communication to Board of Fee Attached Drawing(s) Appeals and Interferences Appeal Communication to Group Amendment / Response Licensing-related Papers (Appeal Notice, Brief, Reply Brief) Petition Routing Slip (PTO/SB/69) Proprietary Information After Final and Accompanying Petition Petition to Convert to a Affidavits/declaration(s) Status Letter Provisional Application Power of Attorney, Revocation Other Enclosure(s) Extension of Time Request Change of Correspondence Address (please identify below): 1) Return receipt postcard Terminal Disclaimer L Express Abandonment Request 2) PTO/SB/08A form Request for Refund 3) International Search Report Information Disclosure Statement CD, Number of CD(s) The Commissioner is authorized to charge any additional fees to Certified Copy of Priority Deposit Account 20-1430. Remarks Document(s) Total number of pages does not include cited references. Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Townsend and Townsend and Crew LLP Firm and Sujit B. Kotwal Reg No. 43,336 Individual name Signature S.B. Kotwal

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Attorney Docket No.: 021154-000810US

FEB 2 3 200K

N THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

ALBERT LEUNG et al.

Application No.: 10/650,360

Filed: August 27, 2003

For: OPTIMIZING STORAGE CAPACITY UTILIZATION BASED UPON DATA STORAGE COSTS

Examiner: Unassigned

Art Unit: 2186

INFORMATION DISCLOSURE

STATEMENT UNDER 37 CFR §1.97 and

§1.98

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

The references cited on the attached PTO/SB/08A from are being called to the attention of the Examiner. Copies of the references are not enclosed. It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

Enclosed is a copy of the International Search Report corresponding to the PCT application.

As provided for by 37 CFR 1.97(g) and (h), no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information, and no inference should be made that the information and references cited are, or are considered to be material to patentability because they are in this statement. No inference should be made that the information and references cited are prior art merely because they are in this statement.

ALBERT LEUNG et al. Application No.: 10/650,360

Page 2

Applicant believes that <u>no fee is required</u> for submission of this statement. However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit

Respectfully submitted,

S. B. Kotwal

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet	1	of	1

Complete if Known					
Application Number	10/650,360				
Filing Date	August 27, 2003				
First Named Inventor	Leung, Albert				
Art Unit	2186				
Examiner Name	Unassigned				
Attorney Docket Number	021154-000810US				

U.S. PATENT DOCUMENTS+						
Examiner Initials*	Cite No.1	Document Number Number Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant	
#III.0.13	AA	US-5,367,698	11-22-1994	Webber et al.	Figures Appear	
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	AC	03-3,270,007	01-04-1224	Telling et all		
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	FOREIGN PATENT DOCUMENTS							
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